#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Kenneth Lowe : Group Art Unit: N/A

Application No.: N/A : Attorney Docket No.: 7561.100

Filed: March 11, 2004 :

Title: EMOTIONAL STATE MODIFICATION METHOD AND SYSTEM

Honorable Commissioner of Patent and Trademarks
Washington, D.C. 20231

Sir:

## INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure, Applicant is herewith bringing to the attention of the Examiner, certain items of information about which he is aware. Submission of this information is not to be construed as an admission that it is material, or even relevant. Furthermore, it should be noted that the information is being submitted in no particular order.

A Form PTO-1449 is enclosed for the convenience of the Examiner, however the references have not been included, with the exception of three articles of website literature, as this U.S. patent application was filed after June 30, 2003 pursuant to the United States Patent and Trademark Office OG Notice of August 5, 2003.

It is believed that no charges are due for this submission since this statement is being filed prior to the first action on the merits in this case. However, if this is incorrect, please debit Account No. 50-0548 and notify the undersigned.

Respectfully submitted,

Thomas P. Liniak

Liniak, Berenato & White, LLC 6550 Rock Spring Drive, Suite 240 Bethesda, Maryland 20817 (301) 896-0600

, t

î

Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION

Docket No: 7561.100

Appl'n No: N/A

Applicant: Kenneth Lowe

Group A.U.: N/A

(Use several sheets if necessary)

Filing Date: March 11, 2004

#### **U.S. PATENT DOCUMENTS**

| EXAMINER<br>INTITAL | DOCUMENT<br>NUMBER | DATE     | NAME          | CLASS | SUBCLASS | FILING<br>DATE |
|---------------------|--------------------|----------|---------------|-------|----------|----------------|
|                     | 5,954,510          | 9/21/99  | Merrill et al |       |          | 12/3/96        |
|                     | 6,633,794          | 10/14/03 | Bailie        |       |          | 2/23/01        |
|                     | 5,377,258          | 12/27/94 | Bro           |       |          | 8/30/93        |
|                     | 5,722,418          | 3/3/98   | Bro           |       |          | 9/30/94        |
|                     | 2003/0008268       | 1/9/03   | Thomas        |       |          | 7/9/01         |
|                     | 6,439,893          | 8/27/02  | Byrd et al    |       |          | 8/10/00        |
|                     | 4,717,343          | 1/5/88   | Densky        |       |          | 6/30/96        |
|                     | 2002/0081557       | 6/27/02  | Altshuler     |       |          | 12/22/00       |
|                     | 2003/0027116       | 2/6/03   | O'Donnell     |       |          | 7/9/01         |
|                     | 5,833,466          | 11/10/98 | Borg          |       |          | 5/6/93         |
|                     | 6,039,688          | 3/21/00  | Douglas et al |       |          | 10/31/97       |
|                     | 5,596,994          | 1/28/97  | Bro           |       |          | 5/2/94         |
|                     | 6,439,893          | 8/27/02  | Byrd et al    |       |          | 8/10/00        |
|                     | 2003/0114943       | 6/19/03  | Byrd et al    |       |          | 8/27/02        |
|                     | 5,967,789          | 10/19/99 | Segel et al   |       |          | 7/30/98        |
|                     | 2001/0031451       | 10/18/01 | Sander et al  |       |          | 3/9/01         |

### FOREIGN PATENT DOCUMENTS

| DOCUMENT<br>NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION<br>Yes / No |
|--------------------|------|---------|-------|----------|-------------------------|
|                    |      |         | -     |          | <del>"</del>            |

# OTHER DOCUMENTS

**EXAMINER** 

**DATE CONSIDERED** 

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Docket No: 7561.100 Appl'n No: N/A Form PTO-1449 INFORMATION DISCLOSURE CITATION Applicant: Kenneth Lowe Group A.U.: N/A IN AN APPLICATION (Use several sheets if necessary) Filing Date: March 11, 2004 U.S. PATENT DOCUMENTS **SUBCLASS EXAMINER DOCUMENT** DATE NAME **CLASS FILING** INTITAL NUMBER DATE 5,961,332 10/5/99 2/13/96 Joao 6,338,628 1/15/02 2/15/00 Smith 7/30/02 6,425,764 12/12/97 Lamson 6,386,881 5/14/02 Jenkins et al 4/19/00 FOREIGN PATENT DOCUMENTS CLASS SUBCLASS TRANSLATION **DOCUMENT** DATE **COUNTRY** Yes / No NUMBER **OTHER DOCUMENTS** Web literature for Goal Maker, Helping you achieve your goals; 62 pages; 2004 Web literature for Progress Planner; 16 pages; 2004 Web literature for Success Studios Goal Pro; 3 pages; 2004 **EXAMINER DATE CONSIDERED** 

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ť.